



Recent patent issues on intermediate reflectors for high efficiency thin-film silicon photovoltaic devices



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ABSTRACT

The recent surge of unveiled US patents on the intermediate reflectors for thin-film silicon (Si) photovoltaic (PV) devices reflects the paramount importance of light trapping to improve the conversion efficiency. Here, the recent patent issues on the intermediate reflectors of thin-film Si PV devices are reviewed. Highly transparent and conductive metal oxide intermediate reflectors have the advantage of the higher efficiency for the fabricated multi-junction solar cells compared to the Si alloy intermediate reflectors. However, their high lateral electrical conductivity leads to the lateral shunting during the monolithic series integration of segments. To avoid the lateral shunt creations, an additional laser scribe or a coating process that induces a high production cost is necessary. In addition, a low conversion efficiency for hydrogenated amorphous silicon (a-Si:H)/hydrogenated microcrystalline Si ($\mu\text{c-Si:H}$) double-junction PV modules employing a metal oxide intermediate reflector stems from the decrease in the active area as a result of the additional process. Meanwhile, double-junction PV modules employing an n-type hydrogenated microcrystalline Si oxide ($\text{n-}\mu\text{c-SiO}_x\text{:H}$) intermediate reflector provide a higher conversion efficiency. Since the Si alloy intermediate reflector can avoid the lateral shunting, it may be a promising option for cost-effective mass production of large-area thin-film Si multi-junction PV modules. Although the developed intermediate reflectors have the high potential, the current status is limited at the research and development (R&D) level. Therefore, the up-scaling with the low cost, high throughput, and high yield is a key technological mission for mass production.

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1. Introduction

It is known that recent global warming caused by excess emission of CO_2 warns that the climate change and nuclear power plants are no longer stable and cheap energy source after Fukushima nuclear meltdowns. Sufficient supplies of clean energy are

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intimately linked with global stability, economic prosperity, and quality of life. Accordingly, clean renewable energy including solar, wind, and hydrogen energy becomes a prime issue. A photovoltaic (PV) module using solar light is a promising candidate among the renewable energy sources because the sun is our primary source of clean, abundant energy. Actually, there has been an explosive, worldwide increase in the PV module market during the last two decades. However, the oversupply of bulk crystalline silicon (c-Si) PV modules that currently shares 80% of products and the decrease in government subsidies due to the recent global economic crisis threaten the PV business by causing the rapid drop in the module price. The thin-film silicon (Si) PV modules using hydrogenated amorphous Si (a-Si:H) and/or hydrogenated microcrystalline Si (μ c-Si:H) absorbers have been considered as promising alternatives to the bulk c-Si PV modules due to the various advantages of remarkably low consumption of the raw Si material (< 1% of consumption of bulk c-Si PV modules), large-area deposition, low-temperature production, and low temperature coefficient. The thin-film Si PV technology also profits from the wide experience base of display industries [1]. However, the recent sharp drop in the module price gives rise to a need for a new breakthrough in the conversion efficiency (η) as well as the cost of the thin-film Si PV modules.

However, the so-called “Staebler–Wronski effect (SWE)” in a-Si:H-based films remains as a major technical challenge for the commercialization of the thin-film Si PV modules [2,3]. SWE is the light-induced degradation arising from the photocreation of dangling bonds accomplished by nonradiative recombination of photogenerated electron–hole pairs [4]. To reduce SWE in a-Si:H absorbers that leads to the degradation of thin-film Si solar cells, there have been extensive investigations during the past 30 years. As a result, stabilized η (η_{sta}) about 10% has been reported for a-Si:H single-junction solar cells [5]. However, reported highest η_{sta} of research and development (R&D)-level a-Si:H single-junction PV modules is 8.7% [6] and that of single-junction PV module products is 6–7% [5]. Double-junction solar cells composed of a-Si:H top and the μ c-Si:H bottom cells stacked in series have been developed to achieve a high value of η_{sta} by guiding incident light to the appropriate absorbers [7–10]. Because the μ c-Si:H bottom cell is very stable against red light irradiation [11], the reduced thickness of the a-Si:H absorber in the top cell compared to that of a-Si:H absorbers in conventional single-junction solar cells provides a good stability against light soaking. To date, η_{sta} of 10–11% has been reported for R&D-level a-Si:H/ μ c-Si:H double-junction PV modules, while η_{sta} of 9–10% has been achieved for a-Si:H/ μ c-Si:H double-junction PV module products [12]. The stability of the a-Si:H/ μ c-Si:H double-junction solar cells mainly depends on the light-induced degradation as well as the thickness of the absorber in the top cell. Thus, improved light trapping in the a-Si:H top cell using an intermediate reflector becomes the center of R&D interest.

A lower refractive index (n) of an intermediate reflector compared to n of Si layers (~ 4.0) is essential in enhancing the internal reflection [13]. It was reported that highly conductive and transparent zinc oxide (ZnO) intermediate reflectors having n of ~ 2.0 significantly increased η_{sta} of superstrate-type a-Si:H/ μ c-Si:H double-junction solar cells [14,15]. Despite the improvement of the cell performances, however, the metal oxide intermediate reflector including ZnO is not suitable for mass production of superstrate type thin-film Si PV modules due to the lateral shunting [16] caused by the leakage current path generation between the intermediate reflector and metal back contact. To prevent a poor fill factor (FF) as a result of the monolithic series integration, at least an additional step is required for the isolation of the exposed intermediate reflector after the laser scribe of Si layers from the subsequently coated metal back contact. This additional step gives rise to a high production cost. In the case of substrate-type a-Si:H/ μ c-Si:H double-junction solar

cells, improved light trapping by employing a textured ZnO intermediate reflector was also reported [17]. However, the substrate-type a-Si:H/ μ c-Si:H double-junction PV modules employing the textured ZnO intermediate reflector also suffer from a similar lateral shunting. A leakage current path occurs between the highly conductive ZnO intermediate reflector and subsequently prepared transparent front electrode like indium tin oxide (ITO) and ZnO.

Alternatively, hydrogenated n-type amorphous silicon-oxide (n-a-SiO_x:H) and hydrogenated n-type microcrystalline (or nanocrystalline) silicon-oxide (n- μ c-SiO_x:H) intermediate reflectors with a constant n value were developed [18–22]. The SiO_x:H intermediate reflectors can considerably reduce the lateral shunting due to the lower lateral conductivity than the ZnO intermediate reflectors. Also, the SiO_x:H intermediate reflectors can be removed simultaneously with adjacent Si layers via the laser scribe of Si layers. Hence, no additional step for the monolithic integration of a-Si:H/ μ c-Si:H double-junction PV modules is necessary. Moreover, the *in situ* preparation of SiO_x:H intermediate reflectors using plasma enhanced chemical vapor deposition (PECVD) is possible. Due to the key technological issue of improved light trapping for thin-film Si PV devices, considerable inventions focused on the intermediate reflector are timely published as patents. In this work, the author will review the recent trends of patents on the intermediate reflectors of thin-film Si PV modules.

2. Device concept and the survey for related patents

2.1. Concept of the related technology

Fig. 1 shows the images of installed thin-film Si PV modules by the Korean module manufacturer, KISCO. The thin-film Si PV modules can be used variously as terrestrial modules in the outdoor field, building applied photovoltaic (BAPV) modules, and building integrated photovoltaic (BIPV) modules.

Fig. 2 exhibits the structure of a superstrate-type a-Si:H/ μ c-Si:H double-junction PV module. 1.1 m \times 1.3 m-sized (so-called “Gen5”) glasses are widely used as substrates. The front transparent conductive oxide (TCO) is coated on a side of the glass substrate as a front electrode. The p–i–n type a-Si:H top cell and μ c-Si:H bottom cell structures are deposited on the front electrode by the PECVD techniques using a mixture of SiH₄-based reactant gases. An intermediate reflector with a low n value is inserted between the top and bottom cells. After the deposition of Si thin films, the back reflector and metal back contacts are subsequently formed. The monolithic series integration of the double-junction PV modules was materialized via the plurality of three parallel laser-scribed patterns (P1–P3 patterns). The Q-switched infrared (IR) laser with the wavelength of 1064 nm or ultraviolet (UV) laser is used for P1 patterns depending on the front electrode materials. The Q-switched green laser with the wavelength of 532 nm is used for P2 and P3 patterns. Finally, the module encapsulation is performed by laminating the back sheet including an Al foil or a back cover glass with an ethylene–vinyl acetate (EVA) film. Incident solar light transmitting the front TCO-coated glass is absorbed in the i-a-Si:H and i- μ c-Si:H layers and thus electron–hole pairs are created. The photocreated carriers are drifted to the respective electrodes by the electric field formed in the absorbers. The electrons are collected by the metal back contacts and the holes are collected by the transparent front electrodes. The intermediate reflector plays an important role in reflecting penetrated visible light to the top cell and transmitting near-IR light to the bottom cell. For the effective internal reflection, the refractive index difference (Δn) between the intermediate reflector and neighboring Si layer is an important design factor together with the thickness of the intermediate reflector. The high transmittance and reduced thickness are essential for the low optical absorption loss in the intermediate

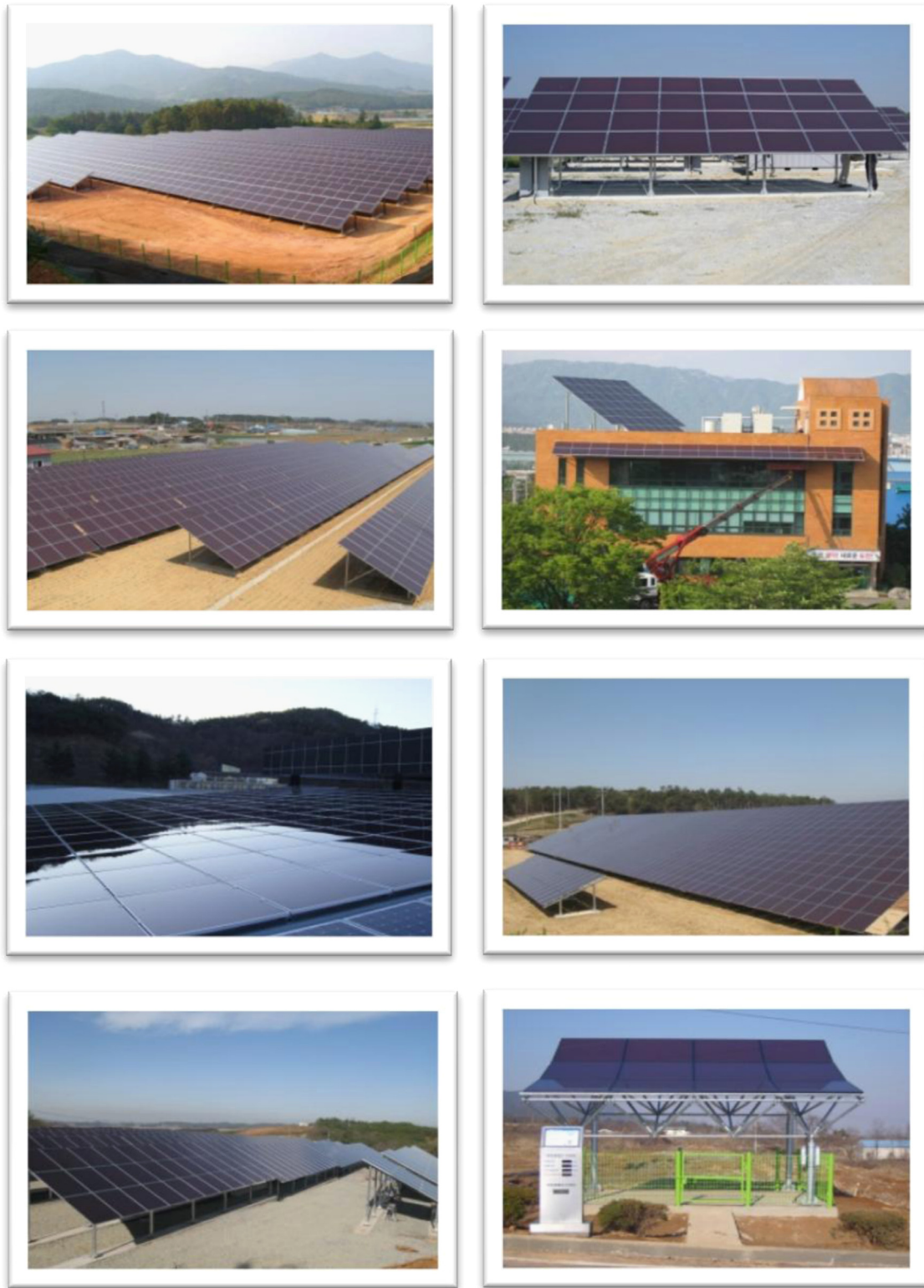


Fig. 1. Thin-film Si PV modules deployed by KISCO.

reflector. The high vertical electrical conductivity of the intermediate reflector is also required not to increase the electrical loss.

2.2. Search method for related patents

The legally valid United States (US) patents have been surveyed because the US patents are regarded as the international patents.

The author started the survey from 0.1 million patents of > 600 institutions using an online patent search service named “WIPS” with the first keywords of “solar or photovoltaic or photoelectric.” Then, a lot of noise was filtered using the detailed keywords of “semiconductor or device or method or apparatus or battery or module or panel or converter or system or cell or element or film.” Related patents were finally selected through author’s in-depth

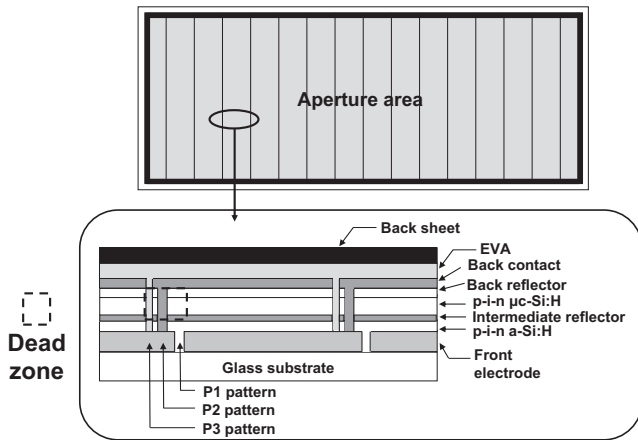


Fig. 2. Planar and cross-sectional views for the structure of the superstrate-type a-Si:H/μc-Si:H double-junction PV module with an intermediate reflector.

Table 1

Top 12 assignees for the US patents on the intermediate reflectors for thin-film Si PV devices.

Rank	Assignee	Total publications
1	KISCO	16
2	Sanyo Electric	15
3	MHI	11
4	Sharp	7
4	Samsung Electronics	7
6	Kaneka	6
7	LG Electronics	4
8	Applied Materials	3
8	IMT, EPFL	3
8	Mitsubishi Electric	3
8	Nexpower Technology	3
8	TEL Solar	3

analysis of claims. As a result, 92 published and registered patents claimed the intermediate reflectors of thin-film Si PV modules have been selected for this review.

3. Patent issues and discussion

Table 1 provides the list of top 12 assignees for the US patents on the intermediate reflectors of thin-film Si PV devices. The Korean module manufacturer with the brand name of “GETWATT”, KISCO, takes the first place by disclosing 16 patents related to effective n grading of Si alloy intermediate reflectors [23–38]. The Japanese company, Sanyo Electric, takes the second place with the various 15 patents [39–53]. The Japanese module manufacturer, Mitsubishi Heavy Industry (MHI), takes the third place with the 11 patents related to sputter ZnO intermediate reflectors [54–64]. The Japanese module manufacturer, Sharp, published 7 patents which focused on the textured ZnO and silicon nitride (SiN_x) intermediate reflectors [65–71]. The Korean company, Samsung Electronics, also published 7 patents based on recent R&D [72–78]. The Japanese module manufacturer, KANEKA, invented 6 patents leading R&D of thin-film Si PV modules [79–84]. The Korean company, LG Electronics, has 4 patents based on R&D and pilot module production [85–88]. The global vacuum equipment supplier, Applied Materials (AMAT), invented 3 patents based on the various material attempts to develop intermediate reflectors [89–91]. IMT, EPFL, is a Swiss research group that firstly developed a-Si:H/μc-Si:H double-junction solar cells and invented the concept of the intermediate reflector [14]. This group has 3 published patents related to the surface texture configuration of the intermediate reflector [92–94]. The Japanese company,

Mitsubishi Electric [95–97] and Taiwanese module manufacturer, Nexpower Technology [98–100], also disclose 3 related inventions each. The Swiss company, Oerlikon Solar, was recently merged into the Japanese turnkey solution supplier for mass production of thin-film Si PV modules, TEL Solar. It is also possessing 3 published patents [100–102]. Fig. 3 displays the annual number of filed patents on the intermediate reflectors of thin-film Si PV devices. After the first patent emerged in 2000, the number increased gradually in the early 2000s. The boom for filed patents was ignited in 2008 and the number reached the sharp peak value of 21 in 2009. This tendency reveals the recent paramount interest in the intermediate reflectors of the thin-film Si PV technology. There are relatively diminished numbers from 2011 because patents filed in these years are currently publishing.

The surveyed 92 patents were classified according to the detailed technology – metal oxide intermediate reflectors, Si alloy intermediate reflectors, and series integration of modules considering a conductive metal oxide intermediate reflector. As shown in Fig. 4, the patents classified to the metal oxide intermediate reflectors, Si alloy intermediate reflectors, and series integration technology share 27.2%, 33.7%, and 31.5%, respectively. Hereafter, the author will review the classified patents.

3.1. Metal oxide intermediate reflectors

Highly transparent and conductive metal oxide films have been widely used as the intermediate reflectors of thin-film Si double-junction solar cells. As a single layer intermediate reflector, various

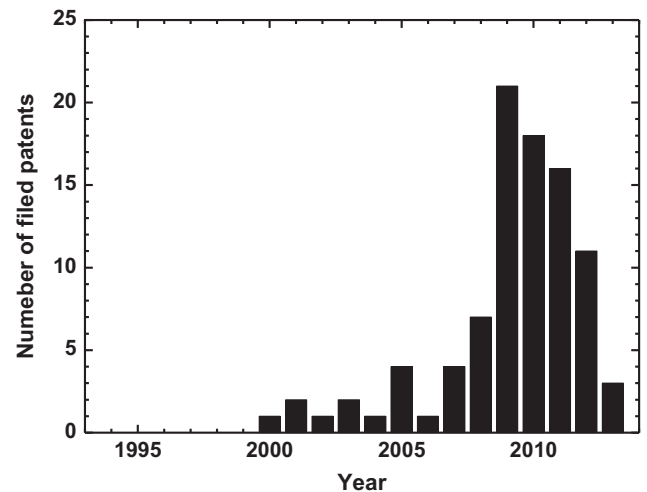


Fig. 3. The annual number of filed US patents regarding on intermediate reflectors of thin-film Si PV modules.

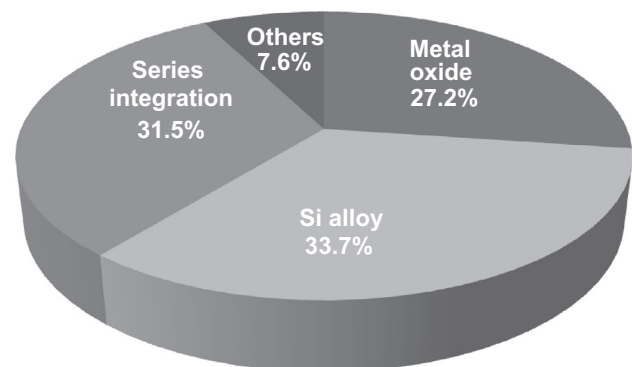


Fig. 4. The distribution of major classification for the reviewed patents on intermediate reflectors of thin-film Si PV modules.

TCO materials have been disclosed. ZnO, tin oxide (SnO_2), and ITO intermediate reflectors were introduced in refs. [54,55]. Especially, a bottom cell-limited current matching configuration was claimed for thin-film Si double-junction solar cells employing the intermediate reflectors in ref. [56]. Also, ZnO, SnO_2 , ITO and indium oxide (In_2O_3) intermediate reflectors were introduced in ref. [104]. In ref. [105], various metal oxide materials such as ZnO, Ga-doped ZnO (ZnO:Ga), ITO, Al-doped ZnO (ZnO:Al), indium zinc oxide (IZO), indium tin zinc oxide (ITZO), aluminum tin oxide (ATO), cadmium indium oxide (CIO), cadmium zinc oxide (CZO), and F-doped SnO_2 (SnO_2 :F) were claimed for intermediate reflectors. In addition, a Nb-doped titanium dioxide (TiO_2 :Nb) intermediate reflector was developed [89]. The structural combination of the ZnO:Al intermediate reflector and n - μc - SiO_x :H/ZnO:Al back reflector was proposed in ref. [85]. The surface-textured, metal oxide intermediate reflectors were claimed in refs. [92,93]. The textured surface can scatter light properly and thus reinforce light trapping by increasing the optical path length inside the solar cells. A textured ZnO intermediate reflector was disclosed in ref. [66]. The sputter-etched ZnO intermediate reflector can be prepared by chemical wet etching for the surface of sputter ZnO using an acid solution [64,66]. In particular, an asymmetric ZnO intermediate reflector was developed for high efficiency substrate-type a-Si:H/ μc -Si:H double-junction solar cells with a n -i-p configuration [94]. The CVD ZnO film prepared by low pressure CVD (LPCVD) [107–109] or metal-organic CVD (MOCVD) [110] is suitable for the asymmetric ZnO intermediate reflector because the pyramidal rough surface can be naturally formed under the growth conditions for the (11–20) preferential crystallographic orientation. In addition, undoped ZnO or lightly B-doped ZnO (ZnO:B) is favorable as the asymmetric intermediate reflector because the excess B doping of CVD ZnO causes an adverse effect that reduces the surface roughness and enhances the free carrier absorption in the near-IR range [109]. In ref. [72], porous ZnO, ITO, SnO_2 , and In_2O_3 were proposed as intermediate reflectors. Again, their nanostructures such as nanorods, nanotubes, and nanowires were proposed as the intermediate reflectors. In ref. [97], a metal oxide intermediate reflector including micropores filled with metals was invented to enhance the vertical electrical conductivity. In ref. [44], the thickness control of a metal oxide intermediate reflector depending on the crystallinity of the i- μc -Si:H absorber in the bottom cell was proposed.

To improve the electrical, optical and structural properties, considerable bilayer metal oxide intermediate reflectors were disclosed. In ref. [39], a low n metal oxide (ZnO or ITO)/contact layer (ZnO or In_2O_3) bilayer was developed to improve the interface between the intermediate reflector and p- μc -Si:H window layer of the bottom cell. In ref. [69], an undoped ZnO/amorphous buffer bilayer intermediate reflector was invented to improve the interface. In ref. [68], the structure of the undoped ZnO with a higher hydrogen content/undoped ZnO with a lower hydrogen content obtained using a H_2 plasma treatment was proposed as the intermediate reflector. Effective light trapping may be attained using the layers having different n values. In ref. [40], zinc magnesium oxide (ZnMg_xO)/ZnO and $\text{ZnMg}_x\text{O}/\text{In}_2\text{O}_3$ bilayers were claimed as intermediate reflectors. The Mg content leads to the lower n value and higher resistivity compared to ZnO. In ref. [58], ZnO:Ga/ ZnMg_xO and ZnMg_xO with a lower Mg content/ ZnMg_xO bilayers were developed to avoid the lateral shunting of the metal oxide intermediate reflectors. Alternatively, a H_2 plasma-treated ZnO-based bilayer was developed in ref. [59] to solve the problem. The first layer may be ZnO:Ga, Ga and N-codoped ZnO (ZnO:Ga:N), or Ga and N-codoped ZnMg_xO (ZnMg_xO :Ga:N), while the second layer may be ZnO:Ga:N or ZnMg_xO :Ga:N. In ref. [95], an n -type metal oxide (ZnO, ITO, SnO_2 , IZO or indium gallium zinc oxide (InGaZnO))/p-type metal oxide (NiO , Cu_2O , or ZnM_2O_4 ($M=\text{Co}$, Rh, or Ir)) bilayer intermediate reflector was developed.

For further optimization of light trapping, trilayer and alternated multilayer stack were also disclosed as intermediate reflectors. In ref. [60], a ZnO:Ga/ TiO_2 /ZnO:Ga trilayer was proposed as a intermediate reflector. In ref. [61], an alternated multilayer stack comprised of ZnO and plasma-resistant protecting Si alloy (SiO_x or silicon-oxycarbide (SiO_xC_y)) sublayers was introduced to prevent the reduction of ZnO during the deposition of the p- μc -Si:H window layer of the bottom cell. In ref. [79], an alternated multilayer stack consisting of carbon sublayers and ZnO sublayers was introduced. In ref. [111], alternated multilayer intermediate reflectors comprised of oxide, nitride, ITO, ZnO, or SnO_2 were disclosed.

On the other hand, the structural combination of the ZnO:Al intermediate reflector and n - μc - SiO_x :H/ZnO:Al back reflector was proposed in ref. [85]. Intermediate reflectors aiming for improved light trapping of triple-junction solar cells were also disclosed. In ref. [56], the structure of top cell/the first textured ZnO:Ga intermediate reflector/middle cell/the second textured ZnO:Ga intermediate reflector/bottom cell was claimed. The textured ZnO:Ga intermediate reflectors have the sine-curved surface texture. In ref. [57], the structure of a-Si:H top cell/the first ZnO:Ga intermediate reflector/ μc -Si:H middle cell/the second ZnO:Ga intermediate reflector/ μc -SiGe:H bottom cell was developed. In ref. [112], the substrate-type triple-junction solar cell with the structure of a-Si:H top cell/a-Si:H middle cell/metal oxide intermediate reflector/ μc -Si:H bottom cell was invented.

3.2. Si alloy intermediate reflectors

Despite the metal oxide intermediate reflectors led to high η of the thin-film Si multi-junction solar cells; however, their high lateral electrical conductivity induced the lateral shunting. Thus, various Si alloy intermediate reflectors have been disclosed. The n reducing elements such as O, C, and N can effectively adjust n of the intermediate reflector in the range of 1.7–3.6. However, the vertical electrical conductivity decreases as the content of the n reducing elements increases. Therefore, a compromise between the vertical electrical conductivity and n is necessary for the design of the Si alloy intermediate reflectors. As single layer intermediate reflectors, SiO_x , SiN_x , silicon-carbide (SiC_x), SiO_xC_y , silicon-oxynitride (SiO_xN_y), and silicon-carbon-nitride (SiC_xN_y) films were claimed in ref. [106]. In refs. [102,113], a SiO_x :H intermediate reflector was claimed. In refs. [90,101], a μc - SiO_x :H intermediate reflector was developed. In ref. [80], n - μc - SiO_x :H and n -a- SiO_x :H intermediate reflectors were developed. In ref. [114], an n - SiO_x :H intermediate reflector with very low n (< 1.7) was claimed. The structural combination of the n - μc - SiO_x :H intermediate reflector and n - μc - SiO_x :H/ZnO:Al back reflector was proposed in ref. [85]. In ref. [70], a SiN_x :H intermediate reflector with openings was demonstrated to enhance the vertical electrical conductivity. Effective n grading of the Si alloy intermediate reflectors could increase the internal reflection. In ref. [41], a graded n - μc - SiO_x :H intermediate reflector from a high n value to a low n value was proposed. More various graded n - μc - SiO_x :H intermediate reflectors using gradual or stepwise O grading method were developed in refs. [23,24,34]. Similarly, various graded n - μc - SiC_x :H and n - μc - SiN_x :H intermediate reflectors were developed in refs. [25,27]. In ref. [26], graded n - μc - SiO_x :H, n - μc - SiC_x :H, and n - μc - SiN_x :H intermediate reflectors using a roll-to-roll process were developed for flexible thin-film Si PV modules. In order to reinforce light trapping by increasing the optical path length inside the solar cells, the surface-textured intermediate reflectors were claimed in refs. [92,93]. In ref. [97], the SiO_x intermediate reflector including the micropores filled with metals was invented to enhance the vertical electrical conductivity. In ref. [44], the thickness control of a SiO_x intermediate reflector

depending on the crystallinity of the i- μ c-Si:H absorber in the bottom cell was proposed.

Effective n grading can be materialized using bilayer intermediate reflectors. In ref. [73], SiO_x , SiN_x , and SiC_x bilayers having the first layer with higher n and second layer with lower n were disclosed as intermediate reflectors. In ref. [91], n- μ c-SiO_x:H/n- μ c-Si:H, n- μ c-SiC_x:H/n- μ c-Si:H, and n- μ c-SiN_x:H/n- μ c-Si:H bilayer intermediate reflectors were proposed to improve the fill factor (FF) of the multi-junction solar cells by compensating the vertical electrical conductivity. In ref. [81], n-a-SiO_x:H/p-a-SiO_x:H bilayer was developed to act as the intermediate reflector and tunnel junction simultaneously. In ref. [43], a μ c-Si:H bottom cell including a graded p-SiO_x:H (low n to high n) window layer and graded n-SiO_x:H (high n to low n) layer was demonstrated.

As a trilayer intermediate reflector, the structure of i- μ c-Si:H/n-a-SiO_x:H/i- μ c-Si:H and doped μ c-Si:H/n-a-SiO_x:H/doped μ c-Si:H was disclosed in ref. [42]. To optimize the thickness and internal multiple reflection, various alternated multilayer stacks were disclosed as intermediate reflectors. An alternated multilayers comprised of n- μ c-Si:H and n- μ c-Si:H alloy (n- μ c-SiO_x:H, n- μ c-SiC_x:H, or n- μ c-SiN_x:H) sublayers were invented as intermediate reflectors [28–30,33]. In ref. [79], an alternated multilayer stack comprised of carbon and SiO_x sublayers was developed. In ref. [115], an alternated multilayer stack comprised of Si alloy (SiO_x, SiC_x, or SiN_x) and n-type Si (n-a-Si:H or n- μ c-Si:H) sublayers were developed. In ref. [111], an alternated multilayer intermediate reflectors made of SiC_x were disclosed. The reflectance (R) of the alternated multilayer reflectors can be simply estimated by the following equation:

$$R = [(n_R^{2i} - 1)/(n_R^{2i} + 1)]^2 \quad (1)$$

where n_R is the refractive index ratio of the sublayer with higher n over the sublayer with lower n and i is the number of total stacking cycles [116]. With the n_R value of 1.8, the two-cycled alternated multilayer intermediate reflector has R of 0.682, whereas the three-cycled alternated multilayer intermediate reflector has higher R of 0.889. Thus, the alternated multilayer is a promising option as the intermediate reflector.

Si alloy intermediate reflectors for improved light trapping of triple-junction solar cells were also disclosed. In ref. [82], the structure of a-Si:H top cell/a-Si:H middle cell/n-a-SiO_x:H or n- μ c-SiO_x:H intermediate reflector/ μ c-Si:H bottom cell was claimed. In ref. [112], the substrate type triple-junction solar cell with the structure of a-Si:H top cell/a-Si:H middle cell/Si alloy intermediate reflector/ μ c-Si:H bottom cell was developed.

3.3. Other intermediate reflectors

In ref. [46], an amorphous carbon (a-C:H) intermediate reflector was disclosed. In ref. [100], opaque intermediate reflectors made of graphite or a metal with openings were developed. The opaque intermediate reflectors were connected to a reflection enhancing bilayer consisting of high n and low n layers. In ref. [38], a metal nanoparticle intermediate reflector was developed. The metallic nanoparticles such as Au and Ag can reflect visible light into the top cell and deliver reinforced near-IR light into the bottom cell due to the surface plasmon resonance [117]. In metallic nanoparticles, localized plasmons corresponding to collective oscillations of conduction electrons within the particle rather than traveling waves on the surface can be excited. The metallic nanoparticles then act as “antenna” for incident light by enhancing field intensities in the vicinity of localized plasmons [118–122]. The metallic nanoparticles could be embedded in the Si alloy and metal oxide films to enhance the internal reflection. To obtain very low n (1.0–2.0) of intermediate reflectors, metal or mixed alloy nanoparticles were embedded in a transparent binder material

in refs [123,124]. In ref. [87], the triple-junction solar cell structure of a-SiO_x:H or a-SiC_x:H top cell/the first intermediate reflector with n_1 /a-SiGe:H middle cell/the second intermediate reflector with n_2 / μ c-SiGe:H bottom cell was developed. The intermediate reflectors have different n values in the specific wavelength ranges.

3.4. Series integration considering intermediate reflectors

In general, a-Si:H/ μ c-Si:H double-junction PV modules employing the Si alloy intermediate reflector are monolithically series-connected by the plurality of the three laser patterns (see Fig. 2). However, the a-Si:H/ μ c-Si:H double-junction PV modules employing the metal oxide intermediate reflector require an additional process to avoid the later shunting that originates from the high lateral electrical conductivity. In refs. [45,46], the monolithic series integration was realized only by two laser patterning processes because of the additional insulator and conductor coatings. As plotted in Fig. 5a, the intermediate reflector is patterned together with the top cell, bottom cell, and metal back contact by the P2 laser scribe.

In ref. [86], the conventional monolithic series integration (see Fig. 2) was used despite the conductive intermediate reflector.

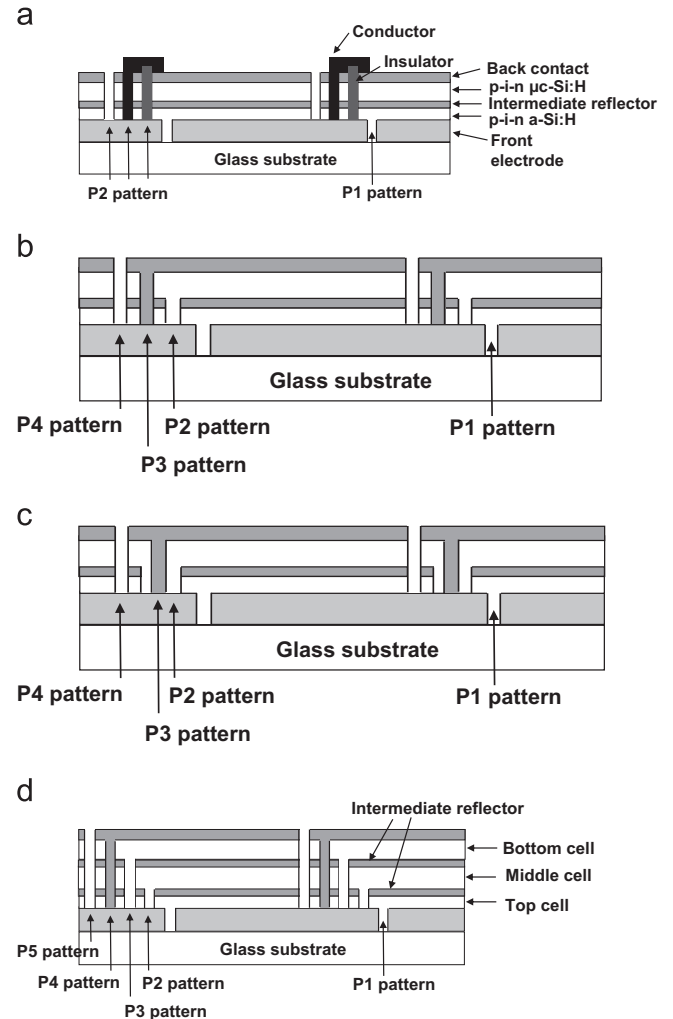


Fig. 5. Cross-sectional images of the series integration of thin-film Si PV modules considering conductive metal oxide intermediate reflectors comprised of (a) combination of two laser-scribed patterns and coatings of the insulator and conductor (ref. [45]); (b) typical four laser-scribed patterns (ref. [84]); (c) four laser-scribed patterns including the overlapped P2 and P3 patterns (ref. [71]); and (d) five laser-scribed patterns for the triple-junction structure (ref. [87]).

However, considerable lateral shuntings are expected. If an additional process is executed to reduce the lateral shunting, the monolithic series integration can be materialized by the plurality of the three laser patterns as shown in Fig. 2. In ref. [47], the intermediate reflector was patterned together with the bottom cell by the P2 laser illuminated from the metal back contact side and then exposed side walls were etched by reactive plasma. Subsequently, the insulator and conductor coatings were performed to complete the series integration. In ref. [48], the intermediate reflector was patterned together with the top and bottom cells by the P2 laser scribe and polymer compound coatings were followed at the exposed side walls. In ref. [49], the intermediate reflector was patterned together with the top and bottom cells by the P2 laser scribe; however the lateral shunting can be reduced by increasing the Schottky barrier [109] between the metal back contact and intermediate reflector at the exposed side walls. In ref. [50], the lateral shunting could be reduced by forming a p–n tunnel junction at end sections of the intermediate reflector via an additional doping. In ref. [74], the lateral shunting could be inhibited using the conductive intermediate reflector with openings. The intermediate reflector was patterned together with the top and bottom cells by the P2 laser scribe. In ref. [75], the lateral shunting could be avoided by positioning the insulating spacer at the end sections of the intermediate reflector. Also, the intermediate reflector was patterned together with the top and bottom cells by the P2 laser scribe. In refs. [98,99], the lateral shunting could be avoided by depositing an isolated intermediate reflector using a mask. To maximize the active area of double-junction thin-film Si PV modules, various point contact techniques were invented. The electrical connection between neighboring segments could be materialized through point contacts (through holes) by contacting the front electrode of a cell to the back contact of a neighboring cell. In refs. [35,36], the intermediate reflector was patterned together with the top and bottom cells as the forms of the through holes by the P2 laser scribe. To prevent the lateral shunting, a high resistive layer was formed by oxidizing the exposed sidewall of the through holes using a physical or a chemical method in ref. [35], while an insulated layer was coated on the exposed sidewall of the through holes in ref. [36]. The trenches formed by the P1 and P3 laser scribes could be overlapped except near the point contacts. Besides, the trenches formed a closed loop surrounding a point contact.

The monolithic series integration of the a-Si:H/ μ c-Si:H double-junction PV modules can be realized by the plurality of four laser patterns. Fig. 5b depicts the typical structure introduced in ref. [84]. The intermediate reflector was patterned together with the top cell by the P2 laser scribe, and the P2 and P3 patterns were separated. Fig. 5c reveals an alternative structure claimed in ref. [71]. The intermediate reflector was patterned together with the top cell by the P2 laser scribe, and the P2 and P3 patterns were overlapped. Other alternative structures were also disclosed [46,51,53,78]. In ref. [76], the intermediate reflector was patterned together with the top and bottom cells by the P3 laser scribe. In refs. [77,125], the intermediate reflector was patterned solely by the P2 laser scribe. In refs. [62–64], the intermediate reflector was patterned together with a portion of the top cell by the P2 laser scribe. Especially, an a-Si:H recrystallized region was formed beneath the P2 patterns in order to perfectly prevent the lateral shunting in ref. [64]. In ref. [52], the intermediate reflector was patterned together with the top cell, bottom cell, and metal back contact by the P2 laser scribe and the monolithic series integration was completed by a subsequent conductor and insulator coatings. In ref. [37], the intermediate reflector was patterned together with the top cell as the form of through holes by the P2 laser scribe. To prevent the lateral shunting, the bottom cell deposited inside the through holes formed by the P2 laser scribe was patterned as the form of point contacts (inner through holes) using

the P3 laser scribe. Alternatively, the bottom cell could be patterned together with the top cell and intermediate reflector as the form of point contacts (through holes) using the P3 laser scribe beside the through holes formed by the P2 laser scribe. The trenches formed by the P1 and P4 laser scribes could be overlapped except near the point contacts. Also, the trenches formed a closed loop surrounding a point contact formed by the P3 laser scribe and a through hole formed by the P2 laser scribe. In ref. [97], the intermediate reflector was patterned together with the top and bottom cells by the P2 laser scribe. To avoid the lateral shunting, the trench formed by the P2 laser scribe was filled with white, dielectric reflection pigments.

In addition, the monolithic series integration for triple-junction modules was disclosed using the plurality of five laser patterns. As shown in Fig. 5d, the first intermediate reflector was patterned together with the top cell by the P2 laser scribe, and the second intermediate reflector was patterned together with the top and middle cells by the P3 laser scribe (in ref. [87]). In ref. [83], the hybrid triple-junction structure of top cell/intermediate reflector/middle cell/compound thin-film bottom cell was developed. The intermediate reflector was patterned solely by the P2 laser scribe.

3.5. Discussion

Table 2 describes the record performances of thin-film Si PV devices employing intermediate reflectors reported in the reviewed patents. MHI reported the highest initial η value (η_{ini}) of 13.2% for a-Si:H/ μ c-Si:H double-junction solar cells by adopting the ZnO:Ga intermediate reflector prepared by sputtering [55]. MHI also reported η_{sta} of 11.8% for the same structure [57]. Kaneka achieved highest η_{ini} of 12.9% for the a-Si:H/ μ c-Si:H double-junction solar cells by employing the n- μ c-SiO_x:H intermediate reflector [80], whereas TEL Solar achieved η_{ini} of 13.1% and η_{sta} of 11.8% for the same structure [103]. Meanwhile, Sharp obtained η_{ini} of 12.6% for the a-Si:H/ μ c-Si:H double-junction solar cells using the SiN_x:H intermediate reflector [70]. For a-Si:H/ μ c-Si:H/ μ c-SiGe:H triple-junction solar cells, MHI recorded highest η_{ini} of 15.1% by employing the sputter ZnO:Ga intermediate reflectors [57]. Kaneka achieved η_{ini} of 13.5% by employing the n-a-SiO_x:H intermediate reflectors for a-Si:H/a-Si:H/ μ c-Si:H triple-junction solar cells [82], while Sharp achieved η_{ini} of 13.8% by employing the SiN_x:H intermediate reflectors for the same triple-junction structure [70]. In the case of a-Si:H/ μ c-Si:H double-junction mini-modules, Sanyo obtained η_{ini} of 11.6% by employing the sputter ZnO:Al intermediate reflector [53]. Besides, Kaneka obtained highest η_{ini} of 13.2% by employing the n- μ c-SiO_x:H intermediate reflector [80]. It is found that the ZnO-based metal oxide intermediate reflectors lead to higher η_{ini} values for both double-junction and triple-junction solar cells than the various Si alloy intermediate reflectors. However, the trend of η_{ini} is reversed for the monolithically series-connected double-junction modules. It is inferred that the highly conductive ZnO-based metal oxide intermediate reflectors cause a significant lateral shunting as a result of the series integration of the neighboring segments. Therefore, numbers of patents were involved to solve the lateral shunting. In addition, many of the patents were focusing on effective n grading in order to improve the internal reflection and reduce the optical loss in the intermediate reflector. Recently, state-of-the-art n-SiO_x:H-based intermediate reflectors for superstrate type a-Si:H/ μ c-Si:H double-junction solar cells have been reported. Using the n- μ c-SiO_x:H intermediate reflector with n of 1.85, η_{ini} of 12.3% and η_{sta} of 11.1% was achieved [126]. The structural combination of the n- μ c-SiO_x:H intermediate reflector and n- μ c-SiO_x:H/Ag back reflector was also reported [127]. In addition, high η_{ini} of 13.1% and η_{sta} of 11.5% were achieved for a-Si:H/ μ c-Si:H double-junction solar cells by employing the alternated multilayer intermediate reflector comprised of

Table 2
Record performances for the thin-film Si multi-junction PV devices reported by US patents.

Type	Cell structure	Intermediate reflector	Assignee	η_{ini} (%)	η_{sta} (%)	Reference
Cell	a-Si:H/ $\mu\text{c-Si:H}$	Sputter ZnO:Ga	MHI	12.5	11.8	Ref. [55]
	a-Si:H/ $\mu\text{c-Si:H}$	Sputter ZnO:Ga	MHI	13.2	–	Ref. [57]
	a-Si:H/ $\mu\text{c-Si:H}$	Sputter-etched ZnO:Ga	Sharp	12.6	–	Ref. [66]
	a-Si:H/ $\mu\text{c-Si:H}$ substrate-type	LPCVD ZnO	EPFL	–	9.8	Ref. [93]
	a-Si:H/ $\mu\text{c-Si:H}$	n- $\mu\text{c-SiO}_x\text{:H}$	TEL Solar	13.1	11.8	Ref. [103]
	a-Si:H/ $\mu\text{c-Si:H}$	n- $\mu\text{c-SiO}_x\text{:H}$	Kaneka	12.9	–	Ref. [80]
	a-Si:H/ $\mu\text{c-Si:H}$	n- $\mu\text{c-SiO}_x\text{:H}$	EPFL	12.1	–	Ref. [92]
	a-Si:H/ $\mu\text{c-Si:H}$	n- $\mu\text{c-SiO}_x\text{:H}$	Samsung	11.7	–	Ref. [73]
	a-Si:H/ $\mu\text{c-Si:H}$	n- $\mu\text{c-SiO}_x\text{:H}$	LG	11.6	–	Ref. [85]
	a-Si:H/ $\mu\text{c-Si:H}$	$\text{SiO}_x\text{:H}$	United Solar	11.0	10.3	Ref. [114]
	a-Si:H/ $\mu\text{c-Si:H}$	$\text{SiN}_x\text{:H}$	Sharp	12.6	–	Ref. [70]
	a-Si:H/ $\mu\text{c-Si:H}/\mu\text{c-SiGe:H}$	Sputter ZnO:Ga	MHI	15.1	–	Ref. [57]
	a-Si:H/a-Si:H/ $\mu\text{c-Si:H}$	n- $\mu\text{c-SiO}_x\text{:H}$	Kaneka	13.0	–	Ref. [82]
	a-Si:H/a-Si:H/ $\mu\text{c-Si:H}$	n-a- $\text{SiO}_x\text{:H}$	Kaneka	13.5	–	Ref. [82]
	a-Si:H/a-Si:H/ $\mu\text{c-Si:H}$	$\text{SiN}_x\text{:H}$	Sharp	13.8	–	Ref. [70]
Module	a-Si:H/ $\mu\text{c-Si:H}$	Sputter ZnO:Al	Sanyo	11.6	–	Ref. [53]
	a-Si:H/ $\mu\text{c-Si:H}$	Sputter ZnO:Al:Ga	Kaneka	11.5	–	Ref. [84]
	a-Si:H/ $\mu\text{c-Si:H}$	n- $\mu\text{c-SiO}_x\text{:H}$	Kaneka	13.2	–	Ref. [80]

n-a-Si:H and n-a-SiO_x:H sublayers [128]. In order to inhibit the creation of nanocracks in the i- $\mu\text{c-Si:H}$ bottom cell absorber, the concept of the smoothened intermediate reflector by coating a highly transparent and insulating UV-curable lacquer ($n=1.5$) on the textured ZnO layer prepared by LPCVD was proposed [129]. 3-Dimensional (3D) photonic crystal intermediate reflectors were reported for effective light trapping in the a-Si:H/ $\mu\text{c-Si:H}$ double-junction solar cells [130–132]. To obtain a low n value, a conductive 3D photonic crystal intermediate reflector was materialized via the inversed ZnO opals, which are fabricated by ZnO-replication of polymeric opals using CVD or atomic layer deposition (ALD) techniques. In the case of substrate type a-Si:H/ $\mu\text{c-Si:H}$ double-junction solar cells, improved light trapping by employing a textured ZnO intermediate reflector was also reported [133]. As a result, η_{ini} of 11.2% and η_{sta} of 9.8% were obtained. On the other hand, state-of-the-art n-SiO_x:H-based intermediate reflectors for triple-junction solar cells have been recently reported. In the case of superstrate type a-Si:H/a-SiGe:H/ $\mu\text{c-Si:H}$ triple-junction solar cells, high η_{ini} of 16.1% was obtained by inserting a n- $\mu\text{c-SiO}_x\text{:H}$ intermediate reflector between the middle and bottom cells [134,135]. By optimizing the same structure with the substrate type configuration, highest η_{ini} of 16.3% and η_{sta} of 13.6% were achieved [136,137]. The reported high η values of thin-film Si multi-junction solar cells verify the high potential of the intermediate reflector technology for effective light trapping. However, all the reported results have been limited at the small-sized R&D level. Therefore, the up-scaling with the low cost, high throughput, and high yield is a key technological mission for mass production of high efficiency thin-film Si multi-junction PV modules employing the intermediate reflectors.

4. Conclusion

The author has reviewed the recent trends of US patents on the intermediate reflectors for thin-film Si PV devices. The highly transparent and conductive metal oxide intermediate reflectors have the advantage of higher η for the fabricated double-junction and triple-junction solar cells compared to the Si alloy intermediate reflectors. However, the lateral shunting of monolithically series-connected modules occurs due to the high lateral electrical conductivity. To avoid the lateral shunting, the additional process that elevates the production cost and inactive area is necessary. Thus, the relatively lower η value for the fabricated a-Si:H/ $\mu\text{c-Si:H}$

double-junction modules were reported compared to that for the fabricated a-Si:H/ $\mu\text{c-Si:H}$ double-junction modules employing the n- $\mu\text{c-SiO}_x\text{:H}$ intermediate reflector. Since the Si alloy intermediate reflector can avoid the lateral shunting problem during the monolithic series integration of segments, it is a promising option for cost-effective mass production of large-area thin-film Si multi-junction PV modules. Even though the developed intermediate reflectors have a high potential, the related PV activities are currently limited at the R&D level. Therefore, the up-scaling with low cost, high throughput, and high yield is a key technological mission for mass production. This review will help the researchers and engineers interested in the thin-film Si PV technology by providing the disclosed materials for the intermediate reflectors, strategies for the effective internal reflection, and the monolithic series integration to avoid the lateral shunting. Although inventions of innovative intermediate reflectors are still in progress, the related patents published from May, 2014, are not included in this review.

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